1035 YellowPages
Web Service

DTCC
CARRIER TO CARRIER REPLACEMENT
INITIATIVES TRACKING UPDATE

DTCC C2C Paperless Replacement Initiative Participation Now Tracked in 1035YellowPages

The DTCC Paperless Replacement (RPL) Initiative provides paperless replacement requests between carriers in a standardized and automated way. Coupled with Carrier to Carrier (C2C) Money Settlement replacement turnaround time is slashed and NIGO is reduced.

Cooperative Technologies' 1035YellowPages tracks all DTCC C2C replacement initiative participation, including the Paperless Replacement (RPL) Data only initiative, which will be piloted this fall.

Users choose a ceding carrier and processing location for each replacement transfer. The processing locations are defined by product type which makes them ideal to house the DTCC C2C Paperless Replacements, Attachments, and Money Settlement process information.

When a carrier is participating in any of the DTCC C2C Paperless Replacement Initiatives, specific information is added to each processing location.

For 1035YellowPages Web Service the RPL specific information added to each processing location includes:

1. A DTCC C2C RPL Process Participation Indicator with the following values:
   - · 0 - Not a DTCC C2C RPL Process Participant
   - · 1 – DTCC C2C RPL Process Participant with no Conditions
   - · 2 – DTCC C2C RPL Process Participant with Conditions
2. A Notes field that describes the conditions when the Participant indicator is set to 2 – DTCC C2C RPL Process Participant with Conditions. For the 1035YellowPages Web Service only, if the only condition is a restriction based on replacement amount, the service will check the client provided replacement amount against the condition and automatically override the condition if the restriction is satisfied. Any other conditions should be made known to the user and will require user intervention to override or not.
3. A DTCC C2C RPL Process Routing NSCC number.

For 1035YellowPages Website RPL participation information will be shared on a new "Additional Information" tab. The Website tracking will be outlined in the September 1035YellowPages Newsletter.

Cooperative Technologies, Inc.   Solutions@CooperativeTechnologies.com